

- PUBLIC VERSION

UNITED STATES OF AMERICA
before the
COMMODITY FUTURES TRADING COMMISSION

In the Matter of:

Whistleblower Award Application of

Form WB-APP

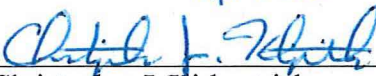
ORDER

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award application of _____ (“Applicant”), submitted on Form WB-APP

Pursuant to the Commission’s Whistleblower Rules (17 C.F.R. Part 165 (2015)), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that the whistleblower award application be denied.

In accordance with 7 U.S.C. § 26(f) (2012) and 17 C.F.R. § 165.13 (2015), if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued: April 26, 2016



Christopher J. Kirkpatrick
Secretary of the Commission
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, DC 20581

PUBLIC VERSION

**UNITED STATES OF AMERICA
before the
COMMODITY FUTURES TRADING COMMISSION**

In the Matter of:

Whistleblower Award Application of

Form WB-APP

WHISTLEBLOWER AWARD DETERMINATION

Before the Whistleblower Award Determination Panel of the Commodity Futures Trading Commission (“Commission”) is the whistleblower award application of (“Applicant”), submitted on WB-APP

IT IS HEREBY DETERMINED that:

1. The award application is denied because the information the Applicant provided did not lead to the successful enforcement of a covered judicial or administrative action, as required by Section 23(b)(1) of the Commodity Exchange Act (7 U.S.C. § 26(b)(1) (2012)) and Sections 165.2(i) and 165.5(a)(3) of the Commission’s Whistleblower Rules (17 C.F.R. §§ 165.2(i), 165.5(a)(3) (2015)). The Applicant’s information did not cause the Commission to commence an examination or investigation, reopen an investigation, or inquire concerning different conduct as part of an existing investigation. The Applicant’s information also did not significantly contribute to the success of the Commission’s enforcement action that resulted in Notice of Covered Action No.

2. Further, the non-CFTC actions for which the Applicant applied for awards are not related actions with respect to the Applicant and therefore are not subject to payment of whistleblower awards. *See* 7 U.S.C. § 26(a)(5); 17 C.F.R. §§ 165.2(m), 165.11.

PUBLIC VERSION

Dated: April 22, 2016

Whistleblower Award Determination Panel
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, D.C. 20581